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**Change of Correspondence Address - Patent**

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P.O. Box 1450  
Alexandria, VA 22313-1450 or  
Fax No. 571 273-8300

Patent No. 6,795,453

Issue Date: 9/21/2004

Application No. 10/000,141

Filing Date: 10/30/2001

First Named Inventor: Bardia Pezeshki

Docket Number: SANT0006

Please change the Correspondence Address for the above-identified patent to:

**Customer Number 78735**

NeoPhotonics Corporation is the assignee of record of the entire interest.

**STATEMENT UNDER 37 CFR 3.73(b):**

Patent Owner: NeoPhotonics Corporation

Patent title: LASER THERMAL TUNING

NeoPhotonics Corporation is the assignee of the entire right, title and interest by virtue of a chain of title from the inventor(s) of the patent application/patent identified above to the current assignee as follows:

**Patent Assignment Abstract of Title**

**Total Assignments: 4**

Patent #: 6795453 Issue Dt: 09/21/2004 Application #: 10000141 Filing Dt: 10/30/2001  
Publication #: 20020090011 Pub Dt: 07/11/2002  
Inventors: Bardia Pezeshki, Ed Vail, Gideon Yoffe  
Title: LASER THERMAL TUNING

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**Assignment: 1**

Reel/Frame: 012412/0427

Recorded: 02/21/2002

Pages: 4

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: PEZESHKI, BARDIA

Exec Dt: 02/12/2002

VAIL, ED

Exec Dt: 02/12/2002

YOFFE, GIDEON

Exec Dt: 02/12/2002

Assignee: SANTUR CORPORATION

40931 ENCYCLOPEDIA CIRCLE  
FREMONT, CALIFORNIA 94538

Correspondent: CHRISTIE, PARKER & HALE, LLP  
DANIEL M. CAVANAGH  
P.O. BOX 7068  
PASADENA, CA 91109-7068

**Assignment: 2**

Reel/Frame: 022783/0137

Recorded: 06/04/2009

Pages: 9

Conveyance: SECURITY AGREEMENT

Assignor: SANTUR CORPORATION

Exec Dt: 05/28/2009

Assignee: SILICON VALLEY BANK

3003 TASMAN DRIVE  
SANTA CLARA, CALIFORNIA 95054

Correspondent: ERIN O'BRIEN  
C/O COOLEY GODWARD KRONISH LLP  
4401 EASTGATE MALL  
SAN DIEGO, CA 92121

**Assignment: 3**

Reel/Frame: 027090/0703

Recorded: 10/19/2011

Pages: 8

Conveyance: RELEASE OF SECURITY INTEREST

Assignor: SILICON VALLEY BANK

Exec Dt: 10/12/2011

Assignee: SANTUR CORPORATION

40931 ENCYCLOPEDIA CIRCLE  
FREMONT, CALIFORNIA 94538

Correspondent: JENNIFER TAYLOR, ESQ.  
O'MELVENY & MYERS LLP  
TWO EMBARCADERO CENTER, 28TH FLOOR  
SAN FRANCISCO, CA 94111

**Assignment: 4**

Reel/Frame: 027269/0214

Recorded: 11/22/2011

Pages: 6

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: SANTUR CORPORATION

Exec Dt: 11/21/2011

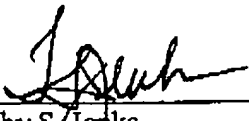
Assignee: NEOPHOTONICS CORPORATION

2911 ZANKER ROAD  
B. DERUYTER/INTELLECTUAL PROPERTY DEPT.  
SAN JOSE, CALIFORNIA 95134

Correspondent: NEOPHOTONICS CORPORATION  
2911 ZANKER ROAD  
B. DERUYTER/INTELLECTUAL PROPERTY DEPT.  
SAN JOSE, CA 95134

The undersigned, (whose title is supplied below) is authorized to act on behalf of the assignee.

NEOPHOTONICS CORPORATION

  
\_\_\_\_\_  
Timothy S. Jenks  
Chief Executive Officer  
Telephone Number: 408 428-4970

8-10-2019  
Date